PHA Plans

Streamlined Annual Version

U.S. Department of Housing and Urban Development
Office of Public and Indian

OMB No. 2577-0226

 $(\exp. 08/31/2009)$

Office of Public and Indian Housing

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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Streamlined Annual PHA Plan for Fiscal Year: 2008

PHA Name: Sanford Housing Authority (Maine)

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

form **HUD-50075-SA** (4/30/2003)

Streamlined Annual PHA Plan Agency Identification

PHA N	ame: Sanford Housi	ority PH A	PHA Number: ME011					
PHA Fiscal Year Beginning: (mm/yyyy) 04/2008								
Public Number of p	rograms Administer to Housing and Section 8 public housing units: 123 58 units: 487	8 Se		ablic Housing Onler of public housing units				
	Consortia: (check be articipating PHAs	ox if subn	Program(s) Included in	Programs Not in	# of Units			
		Code	the Consortium	the Consortium	Each Program			
Participatir	ng PHA 1:							
Participatir	ng PHA 2:							
Participatin	ng PHA 3:							
Name: V TDD: 8 Public A Informat (select al	lan Contact Information G. Keefer 00-545-1833 x514 Access to Information regarding any action regarding any action that apply) HA's main administrative Locations For PHA	on vities out ve office	PHA's devel	bkeefer@sanford be obtained by co	ontacting:			
public reflection from the public reflection fro	A Plan revised policies or view and inspection. lect all that apply: Iain administrative offic HA development managulain administrative officublic library In Supporting Documents Iain business office of the other (list below)	Yes e of the Parement off e of the lo PHA s are avail	☐ No. HA fices ocal, county or State g website ☐	overnment Other (list below	/) ly)			

Streamlined Annual PHA Plan Fiscal Year 2008

[24 CFR Part 903.12(c)]

Table of Contents

[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

A.	PHA PLAN COMPONENTS	Page #
	1. Site-Based Waiting List Policies	4
903.7	(b)(2) Policies on Eligibility, Selection, and Admissions	
\boxtimes	2. Capital Improvement Needs	5
903.7	(g) Statement of Capital Improvements Needed	
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903.7	(k)(1)(i) Statement of Homeownership Programs	
\boxtimes	4. Project-Based Voucher Programs	7
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	Complete only if PHA has changed any policies, programs, or plan	
	components from its last Annual Plan.	
\boxtimes	6. Supporting Documents Available for Review	9
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B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations:

Board Resolution to Accompany the Streamlined Annual Plan identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

Form HUD-50070, Certification for a Drug-Free Workplace;

Form HUD-50071, Certification of Payments to Influence Federal Transactions; and

Form SF-LLL &SF-LLLa, <u>Disclosure of Lobbying Activities</u>.

1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

	Site-Based Waiting Lists							
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics				
Sunset Towers ME36-011/002 941 Main Street	5/31/72	100% Caucasian; Disability records not kept at that time	100% Caucasian 89% Disabled	0%				
East Side Acres ME36-011/001 Emery, Bates & Bowdoin Streets	1/31/71	100% Caucasian; Disability records not kept at that time	100% Caucasian, 13% Disabled	0%				

- 2. What is the number of site based waiting list developments to which families may apply at one time? $\underline{1}$
- 3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? 2
- 4. Tes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

B. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year? 2

Annual Statement.

) \	Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)? If yes, how many lists?
3		May families be on more than one list simultaneously if yes, how many lists?
	ed waiting list PHA ma All PHA Manage At the d	sted persons obtain more information about and sign up to be on the site- is (select all that apply)? in administrative office A development management offices ment offices at developments with site-based waiting lists evelopment to which they would like to apply ist below)
	Town H	Hall, Local Maine Department of Human Services offices
[24 CFR	Part 903.12 (c	·· · · · · · · · · · · · · · · · · · ·
Exemption	ons: Section 8	only PHAs are not required to complete this component.
A. (Capital Fund l	Program
1. X	ι	Does the PHA plan to participate in the Capital Fund Program in the apcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2. Y	i i i 1	Does the PHA propose to use any portion of its CFP funds to repay debt neurred to finance capital improvements? If so, the PHA must identify in ts annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).
	Capital Fund)	Public Housing Development and Replacement Activities (Non-
	<u> </u>	As administering public housing. Identify any approved HOPE VI and/or oment or replacement activities not described in the Capital Fund Program

PHA Name: Sanford Housing Authority Streamlined Annual Plan for Fiscal Year 2008 HA Code: ME011 1. Tyes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary). 2. Status of HOPE VI revitalization grant(s): **HOPE VI Revitalization Grant Status** a. Development Name: b. Development Number: c. Status of Grant: Revitalization Plan under development Revitalization Plan submitted, pending approval Revitalization Plan approved Activities pursuant to an approved Revitalization Plan underway 3. \square Yes \bowtie No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name(s) below: 4. \square Yes \bowtie No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below: 5. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below: 3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program (if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)] 1. X Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.) 2. Program Description:

a. Size of Program

☐ Yes ☐ No:

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Will the PHA limit the number of families participating in the Section 8

homeownership option? 5

	If the answer to the question above was yes, what is the maximum number of participants this fiscal year?
b. PHA-established e ☐ Yes ⊠ No:	Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria:
c. What actions will	the PHA undertake to implement the program this year (list)?
- Continue to partne education program.	er with York County Community Action to provide homeownership
- Market the programmer prescreened for final	m to the approximately forty Section 8 tenants that have been ancial eligibility.
- Hold orientation se	essions for interested Section 8 tenants.
3. Capacity of the PF	IA to Administer a Section 8 Homeownership Program:
Establishing a purchase price family's resou Requiring that be provided, it secondary mo accepted privation Partnering with and years of e	strated its capacity to administer the program by (select all that apply): minimum homeowner downpayment requirement of at least 3 percent of and requiring that at least 1 percent of the purchase price comes from the arces. It financing for purchase of a home under its Section 8 homeownership will insured or guaranteed by the state or Federal government; comply with artgage market underwriting requirements; or comply with generally interested agency or agencies to administer the program (list name(s) experience below): York County Community Action – 7 years experience age that it has other relevant experience (list experience below):
4. Use of the Pro	ject-Based Voucher Program
Intent to Use Pro	ject-Based Assistance
	es the PHA plan to "project-base" any tenant-based Section 8 vouchers in the answer is "no," go to the next component. If yes, answer the following
rather than ten	No: Are there circumstances indicating that the project basing of the units, ant-basing of the same amount of assistance is an appropriate option? If ich circumstances apply:

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Streamlined Annual Plan for Fiscal Year 2008

6. Supporting Documents Available for Review for Streamlined Annual PHA

PHAs are to indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

	List of Supporting Documents Available for Review							
Applicable	Supporting Document	Related Plan Component						
& On Display								
NA NA	PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;	5 Year and Annual Plans						
X	PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan	Streamlined Annual Plans						
NA	Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.	5 Year and standard Annual Plans						
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans						
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA's public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs						
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources						
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies						
NA	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies						
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies						
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies						
X	Public housing rent determination policies, including the method for setting public housing flat rents. Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination						
X	Schedule of flat rents offered at each public housing development. Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination						
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination						
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance						
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations						
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-						

form **HUD-50075-SA** (04/30/2003)

Applicable	List of Supporting Documents Available for Review	Palatad Plan Component
Applicable & On Display	Supporting Document	Related Plan Component
1 1		Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X	Any policies governing any Section 8 special housing types ☑ Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
NA	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
NA	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
NA	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
NA	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
NA	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
NA	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program (Section _20_of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs ☐ Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
NA	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
NA	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
NA	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
NA	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
NA	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
NA	Consortium agreement(s) and for Consortium Joint PHA Plans Only: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Capi	tal Fund Program and Capital Fund Program Replaceme	ent Housing Factor	(CFP/CFPRHF)	Part I: Summary	
PHA N	Name: Sanford Housing Authority	Grant Type and Number Capital Fund Program Gr Replacement Housing Fac	ant No: ME36P011-50)1-08	Federal FY of Grant: 2008
Ori	ginal Annual Statement Reserve for Disasters/ Emergencies Re				
_		Performance and Evalu			
Line	Summary by Development Account	Total Estin	mated Cost	Total Ac	tual Cost
No.					
		Original	Revised	Obligated	Expended
ī	Total non-CFP Funds				
2	1406 Operations	\$35,787			
3	1408 Management Improvements	\$35,787			
1	1410 Administration	\$17,894			
5	1411 Audit	\$4,000			
5	1415 Liquidated Damages				
7	1430 Fees and Costs	\$1,500			
3	1440 Site Acquisition				
•	1450 Site Improvement				
10	1460 Dwelling Structures	\$43,500			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	\$38,469			
13	1475 Nondwelling Equipment	\$37,533			
4	1485 Demolition				
15	1490 Replacement Reserve				
6	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$178,034			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement **Housing Factor**

PHA Name: Sanford Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P011-501-08 Replacement Housing Factor Grant No:					Federal FY of Grant: 2008		
Development Number Name/HA- Wide Activities	General Description of Major Work Categories	Dev. A No.	cct	Quantity	Total Estimated Cost		Total Act	Status of Work	
					Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	14	06		\$35,787				
	Management improvements	14	08		\$35,787				
	Computer upgrade	14	75		\$2,000				
	Administration	14	10		\$17,894				
	Audit	14	11		\$4,000				
	Fees & costs	14	30		\$1,500				
East Side Acres ME36-011/001	Replace roofs at 124 / 128 Emery Street & 22 / 25 Bates Street	14	60		\$43,500				
Sunset Towers ME36-011/002	Build new maintenance garage	14	70		\$37,533				
	*The cost of this work item will be split between the 2008 & 2009 CFP grants. Building a new maintenance garage will enable the SHA to create additional parking for the residents.								
	TOTAL				\$178,034				

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report										
Capital Fund Pro	Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part III: Implementation Schedule										
PHA Name: Sanford Housing Authority Grant Type and Nu Capital Fund Progr Replacement Housi			al Fund Progra	m No: ME36P011-501-08			Federal FY of Grant: 2008			
Development	All	Fund Obliga	ited	All	Funds Expend	ed	Reasons for Revised Target Dates			
Number	(Quar	ter Ending I	Date)	(Quarter Ending Date)						
Name/HA-Wide										
Activities										
	Original	Revised	Actual	Original	Revised	Actual				
PHA Wide	9-1-2010			9-1-2012						
East Side Acres ME36-011/001	9-1-2010			9-1-2012						
Sunset Towers ME36-011/002	9-1-2010			9-1-2012						

Capital Fund Program Five-Year Action Plan Part I: Summary								
PHA Name Sanford Housing A				⊠Original 5-Year Plan ■ Revision No:	n			
Development Number/Name/ HA-Wide	Year 1	Work Statement for Year 2	Work Statement for Year 3	Work Statement for Year 4	Work Statement for Year 5			
		FFY Grant: 2009 PHA FY: 2009	FFY Grant: 2010 PHA FY: 2010	FFY Grant: 2011 PHA FY: 2011	FFY Grant: 2012 PHA FY: 2012			
	Annual Statement							
PHA-Wide		\$178,937	\$178,937	\$178,937	\$178,937			
CFP Funds Listed for 5-year planning		\$178,937	\$178,937	\$178,937	\$178,937			
Replacement Housing Factor Funds								

Capital Fu	nd Program Five-	Year Action Plan							
Part II: Su	pporting Pages—\	Work Activities							
Activities		Activities for Year: 2	Activities for Year: 3						
for		FFY Grant: 2009	FFY Grant: 2010						
Year 1		PHA FY: 2009		PHA FY: 2010					
	Development	Major Work	Estimated Cost	Development	Major Work	Estimated			
	Name/Number	Categories		Name/Number	Categories	Cost			
See									
Annual	PHA-Wide	Operations	\$36,000	PHA-Wide	Operations	\$36,000			
Statement	PHA-Wide	Management Improvements	\$36,000	PHA-Wide	Management Improvements	\$36,000			
	PHA-Wide	Administration	\$18,000	PHA-Wide	Administration	\$18,000			
	PHA-Wide	Audit	\$4,000	PHA-Wide	Audit	\$4,000			
	PHA-Wide	Fees & Costs	\$1,500	PHA-Wide	Fees & Costs	\$1,500			
	PHA-Wide	Computer Upgrades	\$2,000	PHA-Wide	Computer Upgrades	\$2,000			
	Sunset Towers ME36-011/002	Build new maintenance garage	\$19,000	Sunset Towers ME36-011/002	Brick Seal Coating	\$25,000			
	East Side Acres ME36-011/001	Install Attic Insulation	\$40,000	PHA-Wide	Step / Sidewalk Replacement	\$15,000			
	PHA-Wide	Step / Sidewalk Replacement	\$15,000	East Side Acres ME36-011/001	Window Replacement	\$50,000			
	Sunset Towers ME36-011/002	Generator Upgrade	\$10,000	East Side Acres ME36-011/001	Apt. Ceiling, Doors & Kitchen Rehab	\$16,000			
	East Side Acres ME36-011/001	Apt. Ceiling, Doors & Kitchen Rehab	\$16,000						
	Sunset Towers ME36-011/002	Domestic Hot Water Upgrade	\$15,000						
	Total CFP Estimated	d Cost	\$212,500			\$203,500			

Capital Fund Pro	gram Five-Year Act	ion Plan			
Part II: Supportin	ng Pages—Work Act	tivities			
	Activities for Year: 4			Activities for Year: 5	
	FFY Grant: 2011			FFY Grant: 2012	
	PHA FY: 2011			PHA FY: 2012	
Development	Major Work	Estimated Cost	Development	Major Work	Estimated Cost
Name/Number	Categories		Name/Number	Categories	
PHA-Wide	Operations	\$36,000	PHA-Wide	Operations	\$36,000
PHA-Wide PHA-Wide		\$36,000	PHA-Wide	1	\$36,000
	Management Improvements	, ,		Management Improvements	, ,
PHA-Wide	Administration	\$18,000	PHA-Wide	Administration	\$18,000
PHA-Wide	Audit	\$4,000	PHA-Wide	Audit	\$4,000
PHA-Wide	Fees & Costs	\$1,500	PHA-Wide	Fees & Costs	\$1,500
PHA-Wide	Computer Upgrades	\$2,000	PHA-Wide	Computer Upgrades	\$2,000
East Side Acres ME36-011/001	Smoke Detector Upgrade	\$20,000	East Side Acres ME36-011/001	Smoke Detector Upgrade	\$20,000
East Side Acres ME36-011/001	Window Replacement	\$50,000	East Side Acres ME36-011/001	Window Replacement	\$50,000
PHA-Wide	New Toilets	\$25,000	East Side Acres ME36-011/001	Apt. Ceiling, Doors & Kitchen Rehab	\$16,000
East Side Acres ME36-011/001	Apt. Ceiling, Doors & Kitchen Rehab	\$16,000	Sunset Towers ME36-011/002	Fire Alarm Upgrades	\$15,000
Total CFP E	stimated Cost	\$208,500			\$198,500

PHA N	Name: Sanford Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P011-501-07 Replacement Housing Factor Grant No:				
Ori	iginal Annual Statement Reserve for Disasters/ Emergencies				2007		
	formance and Evaluation Report for Period Ending: 9/30/2007	Final Performance and					
<u>—</u> Line	Summary by Development Account		Total Estimated Cost Total Actua				
No.							
		Original	Revised	Obligated	Expended		
1	Total non-CFP Funds						
2	1406 Operations	\$43,384		\$43,384	\$43,384		
3	1408 Management Improvements	\$35,384		\$2,949	\$2,949		
4	1410 Administration	\$17,692					
5	1411 Audit	\$2,800					
5	1415 Liquidated Damages						
7	1430 Fees and Costs	\$1,479	\$1,500				
3	1440 Site Acquisition						
9	1450 Site Improvement	\$15,354		\$3,500	\$3,500		
10	1460 Dwelling Structures	\$4,000					
11	1465.1 Dwelling Equipment—Nonexpendable						
12	1470 Nondwelling Structures						
13	1475 Nondwelling Equipment	\$58,823		\$2,473.46	\$2,473.46		
4	1485 Demolition						
15	1490 Replacement Reserve						
16	1492 Moving to Work Demonstration						
17	1495.1 Relocation Costs						
18	1499 Development Activities						
19	1501 Collaterization or Debt Service						
20	1502 Contingency						
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$178,937		\$52,306.46	\$52,306.46		
22	Amount of line 21 Related to LBP Activities						
23	Amount of line 21 Related to Section 504 compliance						
24	Amount of line 21 Related to Security – Soft Costs						
25	Amount of Line 21 Related to Security – Hard Costs						
26	Amount of line 21 Related to Energy Conservation Measures						

PHA Name: Sa	PHA Name: Sanford Housing Authority			d Number ogram Grant No: ousing Factor Gra	ME36P011-501 ant No:	-07	Federal FY of Grant: 2007		
Development Number Name/HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.			Total Estimated Cost		Total Act	Status of Work	
					Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	14	06		\$43,384		\$43,384	\$43,384	Complete
	Management improvements	14	08		\$35,384		\$2,949	\$2,949	In Progress
	Computer upgrade	14	75		\$5,000		\$2,473.46	\$2,473.46	In Progress
	Administration	14	10		\$17,692				
	Audit	14	11		\$2,800				
	Fees & costs	14	30		\$1,500				
East Side Acres ME36-011/001	Replace furnace (with 2006 funds, total = \$61,008)	14	75		\$36,310				
	Overlay or repave Emery Street parking lot	14	50		\$11,854				
Sunset Towers ME36-011/002	Rehab elevator interiors	14	60		\$4,000				
	Crack seal parking lot	14	50		\$3,500		\$3,500	\$3,500	Complete
	Automatic entry doors	14	75		\$6,000				
	Intercom system	14	75		\$9,513				
	DVR for security cameras	14	75		\$2,000				
	TOTAL				\$178,937		\$52,306.46	\$52,306.46	

Annual Statement	t/Performa	ance and I	Evaluatio	n Report			
Capital Fund Prog	gram and	Capital F	und Prog	ram Replac	ement Hous	ing Factor	(CFP/CFPRHF)
Part III: Impleme	entation S	chedule					
PHA Name: Sanford Ho	ousing Authorit	Capita	Type and Nur al Fund Program cement Housin	m No: ME36P011-	501-07		Federal FY of Grant: 2007
Development All Fund Obligated				All	Funds Expend	ed	Reasons for Revised Target Dates
Number	(Quar	(Quarter Ending Date)			arter Ending Da	ate)	
Name/HA-Wide							
Activities							
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	9-12-2009			9-12-2011			
East Side Acres ME36-011/001	9-12-2009			9-12-2011			
Sunset Towers ME36-011/002	9-12-2009			9-12-2011			

PHA N	Name: Sanford Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P011-501-06 Replacement Housing Factor Grant No:					
	ginal Annual Statement Reserve for Disasters/ Emergencies	Revised Annual Statemer	nt (revision no: 1)					
	formance and Evaluation Report for Period Ending: 9/30/2006	Final Performance and		T				
Line No.	Summary by Development Account	Total Esti	imated Cost	Total Ac	tual Cost			
NO.		Original	Revised	Obligated	Expended			
1	Total non-CFP Funds							
2	1406 Operations	\$35,385	\$35,444.74	\$35,444.74	\$35,444.74			
3	1408 Management Improvements	\$35,385	\$35,385.80	\$35,385.80	\$35,385.80			
1	1410 Administration	\$17,692	\$17,692.40	\$17,692.40	\$17,692.40			
5	1411 Audit	\$2,800	\$3,698	\$3,698	\$3,698			
5	1415 Liquidated Damages							
7	1430 Fees and Costs	\$1,140	\$9,793.08	\$9,793.08	\$9,793.08			
3	1440 Site Acquisition							
)	1450 Site Improvement							
10	1460 Dwelling Structures	\$32,396	\$29,524.98	\$15,000				
11	1465.1 Dwelling Equipment—Nonexpendable							
12	1470 Nondwelling Structures							
13	1475 Nondwelling Equipment	\$44,698	\$50,612	\$50,612	\$17,144.83			
14	1485 Demolition							
15	1490 Replacement Reserve							
6	1492 Moving to Work Demonstration							
17	1495.1 Relocation Costs							
18	1499 Development Activities							
19	1501 Collaterization or Debt Service							
20	1502 Contingency	\$7,428	\$0	\$0	\$0			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$176,924	\$182,151	\$167,626.02	\$119,158.85			
22	Amount of line 21 Related to LBP Activities							
23	Amount of line 21 Related to Section 504 compliance							
24	Amount of line 21 Related to Security – Soft Costs							
25	Amount of Line 21 Related to Security – Hard Costs							
26	Amount of line 21 Related to Energy Conservation Measures							

PHA Name: Sant	Ford Housing Authority			ME36P011-501 ant No:	Federal FY of Grant: 2006			
Development	General Description of	Dev. Acct	Quantity	Total Esti	mated Cost	Total Act	ual Cost	Status of
Number	Major Work Categories	No.						Work
Name/HA-								
Wide								
Activities								
				Original	Revised	Funds	Funds	
				Oliginai	Tto visca	Obligated	Expended	
PHA Wide	Operations	1406		\$35,385	\$35,444.74	\$35,444.74	\$35,444.74	Complete
	Management improvements	1408		\$35,385	\$35,385.80	\$35,385.80	\$35,385.80	Complete
	Administration	1410		\$17,692	\$17,692.40	\$17,692.40	\$17,692.40	Complete
	Audit	1411		\$2,800	\$3,698	\$3,698	\$3,698	Complete
	Fees & Costs	1430		\$1,140	\$9,793.08	\$9,793.08	\$9,793.08	Complete
	Computer Equipment Upgrades	1475		\$10,000	\$15,914	\$15,914	\$15,914	Complete
	Contingency	1502		\$7,428	\$0	\$0	\$0	Omitted
East Side Acres ME36-011/001	Replace furnace (with 2007 funds, total = \$61,008)	1475		\$24,698	\$24,698	\$24,698	\$20.81	On Schedule
Sunset Towers ME36-011/002	Re-point exterior brick	1460		\$15,000	\$15,000	\$15,000		On Schedule
	Lighting upgrades	1460	74 units	\$17,396	\$14,524.98			On Schedule
	Domestic hot water boiler	1475		\$10,000	\$10,000	\$10,000	\$1,210.02	On Schedule

Annual Statemen	t/Performa	ance and I	Evaluatio	n Report			
Capital Fund Pro				-	ement Housi	ing Factor	(CFP/CFPRHF)
Part III: Implem	_	_		,			()
PHA Name: Sanford H		Grant Capita	Type and Nur al Fund Program cement Housin	m No: ME36P011-	501-06		Federal FY of Grant: 2006
Development	All	Fund Obliga	ted	All	Funds Expende	Reasons for Revised Target Dates	
Number	(Quar	rter Ending I	Date)	(Qua	arter Ending Da	ate)	
Name/HA-Wide Activities							
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	7-17-2008			7-17-2010			
East Side Acres ME36-011/001	7-17-2008			7-17-2010			
Sunset Towers ME36-011/002	7-17-2008			7-17-2010			

PHA N	Name: Sanford Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P011-501-05 Replacement Housing Factor Grant No:					
Ori	ginal Annual Statement Reserve for Disasters/ Emergencies				2005			
⊠Per	formance and Evaluation Report for Period Ending: 9/30/06	\Box Final Performance and $f E$	valuation Report					
Line	Summary by Development Account	Total Estir	nated Cost	Total Ac	tual Cost			
No.			1					
		Original	Revised	Obligated	Expended			
1	Total non-CFP Funds							
2	1406 Operations	\$41,827	\$41,827	\$41,827	\$41,827			
3	1408 Management Improvements	\$41,827	\$41,827	\$41,827	\$41,827			
4	1410 Administration	\$20,913	\$20,913	\$20,913	\$20,913			
5	1411 Audit	\$2,793	\$2,793	\$2,793	\$2,793			
5	1415 Liquidated Damages							
7	1430 Fees and Costs	\$100	\$2,100	\$2,100	\$100			
3	1440 Site Acquisition							
9	1450 Site Improvement	\$1,008	\$3,008	\$1,008	\$1,008			
10	1460 Dwelling Structures	\$53,316	\$51,316	\$51,316	\$6,085.71			
11	1465.1 Dwelling Equipment—Nonexpendable							
12	1470 Nondwelling Structures							
13	1475 Nondwelling Equipment	\$30,000	\$30,000	\$30,000	\$30,000			
14	1485 Demolition							
15	1490 Replacement Reserve							
16	1492 Moving to Work Demonstration							
17	1495.1 Relocation Costs							
18	1499 Development Activities							
19	1501 Collaterization or Debt Service							
20	1502 Contingency							
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$191,784	\$191,784	\$191,784	\$144,553.71			
22	Amount of line 21 Related to LBP Activities							
23	Amount of line 21 Related to Section 504 compliance							
24	Amount of line 21 Related to Security – Soft Costs							
25	Amount of Line 21 Related to Security – Hard Costs							
26	Amount of line 21 Related to Energy Conservation Measures							

	ford Housing Authority		d Number ogram Grant No: ousing Factor Gra	ME36P011-501	-05	Federal FY of Gra	nt: 2005	
Development Number Name/HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Esti	mated Cost	Total Act	Status of Work	
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		\$41,827	\$41,827	\$41,827	\$41,827	Complete
	Administrative Sal & Benefits	1408		\$33,000	\$33,000	\$33,000	\$33,000	Complete
	Software contract	1408		\$6,327	\$6,327	\$6,327	\$6,327	Complete
	Staff Training	1408		\$2,500	\$2,500	\$2,500	\$2,500	Complete
	Administration	1410		\$20,913	\$20,913	\$20,913	\$20,913	Complete
	Audit	1411		\$2,793	\$2,793	\$2,793	\$2,793	Complete
	Fees/Costs	1430		\$100	\$2,100	\$2,100	\$100	Complete
	New pick-up to replace 1994 vehicle	1475	1	\$30,000	\$30,000	\$30,000	\$30,000	Complete
East Side Acres	Replace sidewalk at Bowdoin & Emery Streets	1450		\$1,008	\$1,008	\$1,008	\$1,008	Complete
ME36-011/002	Replace Parking Lot at Emery Street	1450		\$2,000	\$0	\$0	\$0	Omitted
Sunset Towers	Canvas canopy on rear doors	1460	2	\$3,492	\$3,492	\$3,492	\$2,450	On Schedule
ME36-011/002	Re-wallpaper lower floor (common hallways)	1460		\$6,000	\$9,000	\$9,000	\$0	On Schedule
	Rehab elevator interiors	1460	2	\$0	\$5,000	\$5,000	\$3,150	On Schedule

PHA Name: Sant	Ford Housing Authority			ME36P011-501	-05	Federal FY of Gran	nt: 2005	
Development Number Name/HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Replace light fixtures all hallways	1460	~96	\$15,324	\$20,324	\$20,324	\$0	On Schedule
	Paint interior walls (halls and stairways)	1460	8 floors	\$13,500	\$13,500	\$13,500	\$485.71	On Schedule
	Upgrade Entry Doors for ADA	1460	2	\$15,000	\$0	\$0	\$0	Omitted

Annual Statemen Capital Fund Pro				-	omant Haus	ing Factor	(CED/CEDDUE)
Part III: Implem	0	-	unu 110g	rain Kepiac	ement Hous	ing Factor	(CFI/CFI MIF)
				nber m No: ME36P011- g Factor No:	501-05	Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities		Fund Obliga ter Ending I			Funds Expend arter Ending Da		Reasons for Revised Target Date
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	8/17/2007			8/17/2009			
East Side Acres ME36-011/002	8/17/2007			8/17/2009			
Sunset Towers ME36-011/002	8/17/2007			8/17/2009			

	ual Statement/Performance and Evaluation Report							
Capi	tal Fund Program and Capital Fund Program Replace	ement Housing Factor	(CFP/CFPRHF)	Part I: Summary				
	Name: Sanford Housing Authority	Replacement Housing Fa	Grant Type and Number Capital Fund Program Grant No: ME36P011-501-04 Replacement Housing Factor Grant No:					
	iginal Annual Statement □Reserve for Disasters/Emergencies □ formance and Evaluation Report for Period Ending: 9/30/2006	Revised Annual Statemen ☐Final Performance and						
Line No.	Summary by Development Account		mated Cost	Total Ac	tual Cost			
		Original	Revised	Obligated	Expended			
1	Total non-CFP Funds				-			
2	1406 Operations	\$41,827	\$41,832	\$41,832	\$41,832			
3	1408 Management Improvements	\$41,827		\$41,827	\$41,827			
4	1410 Administration	\$20,913		\$20,913	\$20,913			
5	1411 Audit	\$2,793		\$2,793	\$2,793			
6	1415 Liquidated Damages							
7	1430 Fees and Costs	\$500		\$500	\$500			
8	1440 Site Acquisition							
9	1450 Site Improvement	\$16,000	\$15,995	\$15,995	\$15,995			
10	1460 Dwelling Structures	\$62,277	\$63,091.15	\$63,091.15	\$40,841.76			
11	1465.1 Dwelling Equipment—Nonexpendable							
12	1470 Nondwelling Structures							
13	1475 Nondwelling Equipment	\$23,000	\$22,185.85	\$22,185.85	\$22,185.85			
14	1485 Demolition							
15	1490 Replacement Reserve							
16	1492 Moving to Work Demonstration							
17	1495.1 Relocation Costs							
18	1499 Development Activities							
19	1501 Collaterization or Debt Service							
20	1502 Contingency							
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$209,137		\$209,137	\$186,887.61			
22	Amount of line 21 Related to LBP Activities							
23	Amount of line 21 Related to Section 504 compliance							
24	Amount of line 21 Related to Security – Soft Costs							
25	Amount of Line 21 Related to Security – Hard Costs							
26	Amount of line 21 Related to Energy Conservation Measures	\$80,277		\$80,277	\$58,027.61			

PHA Name: Sant	ford Housing Authority		d Number rogram Grant No: lousing Factor Gra	ME36P011-501 ant No:	Federal FY of Grant: 2004			
Development	General Description of	Dev. Acct	Quantity	Total Esti	mated Cost	Total Actual Cost		Status of Work
Number	Major Work Categories	No.						
Name/HA-								
Wide								
Activities								
				Original	Revised	Funds	Funds	
						Obligated	Expended	
East Side Acres	Replace furnace in community room	1475		\$18,000	\$17,185.85	\$17,185.85	\$17,185.85	Complete
ME36-011-001	Upgrade community room electrical service	1475		\$5,000		\$5,000	\$5,000	Complete
	Replace Windows	1460	12 units	\$22,000	\$0	\$0	\$0	Omitted
	Roof Repairs	1460		\$0	\$22,814.15	\$22,814.15	\$564.76	On schedule
	Replace furnaces	1460	12 units	\$22,940		\$22,940	\$22,940	Complete
	Replace water heaters	1460	12 units	\$11,337		\$11,337	\$11,337	Complete
	Duct work associated with above	1460	12 units	\$6,000		\$6,000	\$6,000	Complete
	Replace playground equipment	1450		\$16,000	\$15,995	\$15,995	\$15,995	Complete
PHA Wide	Operations	1406		\$41,827	\$41,832	\$41,832	\$41,832	Complete
	Management Improvements	1408		\$41,827		\$41,827	\$41,827	Complete
	Administration	1410		\$20,913		\$20,913	\$20,913	Complete
	Fees/Costs	1430		\$500		\$500	\$500	Complete
	Audit	1411		\$2,793		\$2,793	\$2,793	Complete

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)												
Capital Fund Pro Part III: Implem	0	-	und Prog	ram Replac	ement Hous	ing Factor	(CFP/CFPRHF)					
PHA Name: Sanford Housing Authority			Type and Nun al Fund Program acement Housin	n No: ME36P011-	Federal FY of Grant: 2004							
Development Number Name/HA-Wide Activities		Fund Obligater Ending l	<u> </u>			Reasons for Revised Target Dates						
	Original	Revised	Actual	Original	Revised	Actual						
PHA Wide	9-13-2006		6-30-2006	9-13-2008								
East Side Acres ME36-011/001	9-13-2006		6-30-2006	9-13-2008								
Sunset Towers ME36-011/002	NA		NA	NA								

<u>Attachment A – Statement Relating to the Violence Against Women Act</u>

The SHA offers the same activities, services and programs to child and adult victims of domestic violence, dating violence, sexual assault and stalking as it does to all other community members. Referrals to outside agencies are offered by program staff as appropriate. Confidentiality and safety are always priorities in the planning and implementation of policies and programs.

The SHA also has project-based 12 vouchers to Caring Unlimited in support of a transitional housing program. The program is designed to help formally battered woman rebuild their lives, and the services are provided to families who have been involved in a domestic violence situation. A family can stay in the facility for up to 24 months, and upon graduation from the program, the family is eligible to receive a Housing Choice Voucher if one is available.